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English Name	EPA Handler ID (Primary Key)
Oracle Name	HANDLER_ID
Description	Unique RCRA identification number assigned by the implementing State or Region to each RCRA site (e.g., generators; transporters; and treatment, storage, disposal facilities).
Data Type and Length	VARCHAR2 (12)
Allowed Values	Valid ID as outlined below: The ID can be a minimum of 4 characters and a maximum of 12 characters. The first two characters must be a valid state postal code which corresponds to the state in which the handler is located. Spaces are not allowed.
Default Value	
Notes	Handlers associated with Navajo Nation should be assigned Handler IDs beginning with 'NN' regardless of where the handler is physically located.
English Name	Enforcement Activity Location (Primary Key)
Oracle Name	ENF_ACTIVITY_LOCATION
Description	Indicates the location of the agency regulating the activity.
Data Type and Length	CHAR (2)
Allowed Values	State postal code
Default Value	
Notes	For a list of valid state postal codes, refer to the Column Information Report for LU_STATE.
English Name	Enforcement Identifier (Primary Key)
Oracle Name	ENF_IDENTIFIER
Description	Name or number assigned by the implementing agency to identify an enforcement.
Data Type and Length	VARCHAR2 (3)
Allowed Values	Any non-blank value
Default Value	001
Notes	
English Name	Date of Enforcement Action (Primary Key)
Oracle Name	ENF_ACTION_DATE
Description	The date the enforcement action was issued. For all formal actions involving written documents, the date should be the same as the date the document is signed. For an informal action, the date should reflect the date the handler received actual notification.
Data Type and Length	DATE
Allowed Values	For enforcement actions linked to a violation: Valid date >= Date Violation Was Determined and <= Today in MM/DD/YYYY format For enforcement actions not linked to a violation: Valid date >= January 1, 1980 and <= Today in MM/DD/YYYY format
Default Value	

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English Name Enforcement Responsible Agency (**Primary Key**)
Oracle Name ENF_AGENCY
Description Agency responsible for issuing the enforcement action.
Data Type and Length CHAR (1)
Allowed Values E EPA
S State
Default Value

English Name Enforcement Docket Number
Oracle Name DOCKET_NUMBER
Description The relevant docket number that enforcement staff have assigned for tracking of enforcement actions.
Data Type and Length VARCHAR2 (15)
Allowed Values Any value
blank Not provided
Default Value blank

English Name Enforcement Attorney
Oracle Name ATTORNEY
Description Identifies the attorney within the agency that is responsible for issuing the enforcement action.
Data Type and Length VARCHAR2 (5)
Allowed Values Any value
blank Not provided
Default Value blank

English Name Corrective Action Component
Oracle Name CA_COMPONENT
Description Indicates whether the enforcement action contains a corrective action component.
Data Type and Length CHAR (1)
Allowed Values N No
Y Yes
Default Value N

Notes As a result of a compliance monitoring evaluation, the responsible agency may determine that an enforcement response is necessary to address violations discovered during the evaluation. The following types of enforcement actions involving corrective action should be tracked in the CM&E module:

1. Orders to correct violations of a corrective action permit.
2. Orders to correct violations of a corrective action order.
3. Orders to correct Corrective Action violations (without penalty).
4. Orders to correct Corrective Action violations (with penalty).
5. Order to correct violations at unregulated SWMUs.

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The following types of actions involving corrective action are considered outside the scope of compliance monitoring and enforcement and should be tracked only in the Corrective Action module:

1. Orders for Corrective Action and/or Post-closure purposes.

English Name	Consent Agreement/Final Order (CA/FO) Sequence Number
Oracle Name	CAFO_SEQ
Foreign Key	Foreign key to CAFO_SEQ in LU_CAFO.
Description	Identifies the consent agreement / final order that this enforcement action is a part of.
Data Type and Length	NUMBER (6.0)
Allowed Values	Valid CAFO_SEQ in LU_CAFO blank Not provided
Default Value	
Notes	The lead Region or State will enter the first site of the CA/FO into the LU_CAFO table and obtain a CA/FO sequence number. It is the responsibility of the lead Region/State to ensure that all other Regions and States involved use that sequence number.

English Name	Appeal Initiated Date
Oracle Name	APPEAL_INITIATED_DATE
Description	The date that the appeal was initiated.
Data Type and Length	DATE
Allowed Values	Valid date >= Date of Enforcement Action and <= Today in MM/DD/YYYY format blank Not provided
Default Value	blank

English Name	Appeal Resolution Date
Oracle Name	APPEAL_RESOLVED_DATE
Description	The date the appeal was resolved.
Data Type and Length	DATE
Allowed Values	Valid date >= Appeal Initiated Date and <= Today in MM/DD/YYYY format blank Not provided
Default Value	blank

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English Name	Disposition Status Date
Oracle Name	DISPOSITION_STATUS_DATE
Description	Date that the enforcement action was closed, terminated, or discontinued.
Data Type and Length	DATE
Allowed Values	Valid date >= Date of Enforcement Action and <= Today in MM/DD/YYYY format blank Not provided
Default Value	blank
Notes	If Disposition Status Owner / Disposition Status is provided then Disposition Status Date must be provided.
English Name	Disposition Status Owner
Oracle Name	DISPOSITION_STATUS_OWNER
Foreign Key	Foreign key to OWNER in LU_DISPOSITION_STATUS.
Description	Indicates the agency that defined the Disposition Status.
Data Type and Length	CHAR (2)
Allowed Values	HQ Nationally defined blank Not provided
Default Value	blank
Notes	If Disposition Status Date is provided then Disposition Status Owner / Disposition Status must be provided.
English Name	Disposition Status
Oracle Name	DISPOSITION_STATUS
Foreign Key	Foreign key to DISPOSITION_STATUS in LU_DISPOSITION_STATUS.
Description	Code that indicates how an enforcement action was closed, terminated, or discontinued.
Data Type and Length	CHAR (2)
Allowed Values	Nationally-defined value in LU_DISPOSITION_STATUS
	Existing nationally-defined values:
AS	Action Satisfied (Case Closed) The specific enforcement action is officially closed and has no outstanding settlement payments or other terms or conditions remaining to be satisfied.
DR	Dropped The specific enforcement action has been rejected, terminated, or removed from further consideration.
DS	Dismissed The specific enforcement action has been dismissed by the court.
PC	Public Review Completed The specific enforcement action has completed its Public Review period.
PR	Open for Public Review The specific enforcement action is open for Public Review.
RI	Reinstated

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RT	The specific enforcement action was reinstated after having been dropped, returned, revoked, or withdrawn. Returned
RV	The specific enforcement action has been returned without an enforcement action being taken. (For example, a case referred to DOJ was returned to the referring agency for them to reconsider other enforcement options.) Revoked
WD	The specific enforcement action has been annulled, rescinded, repealed, canceled, or otherwise made void. Withdrawn
blank	The specific enforcement action has been officially withdrawn. Not provided
Default Value	blank
Notes	If Disposition Status Date is provided then Disposition Status Owner / Disposition Status must be provided.

English Name	Enforcement Type Owner
Oracle Name	ENF_OWNER
Foreign Key	Foreign key to OWNER in LU_ENFORCEMENT_TYPE.
Description	Indicates the agency that defined the Enforcement Type.
Data Type and Length	CHAR (2)
Allowed Values	01 - 10 Region HQ Nationally defined State postal code
Default Value	

English Name	Enforcement Type
Oracle Name	ENF_TYPE
Foreign Key	Foreign key to ENFORCEMENT_TYPE in LU_ENFORCEMENT_TYPE.
Description	A code that identifies the type of action being taken against a site.
Data Type and Length	VARCHAR2 (3)
Allowed Values	Nationally- or implementer-defined value in LU_ENFORCEMENT_TYPE

Existing nationally-defined values:

110	Verbal Informal Oral notification by an agency representative informing a RCRA hazardous waste site that they violated applicable laws or requirements. No further action is taken if the site achieves compliance in a timely manner.
120	Written Informal A written notification by an agency representative informing and notifying a RCRA hazardous waste site that they violated applicable laws or requirements, advising the site of what to correct and by what date the correction should take place.
130	Notice of Determination A written notification by an agency representative to a RCRA site in response to the specific site's self-disclosure of specific RCRA violations or requirements.

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140	Letter of Intent to Initiate Enforcement Action A written notification by an agency representative notifying a RCRA hazardous waste site of further follow-up enforcement action by the responsible agency. In some instances, these actions may be considered Notices of Intent or Show Cause letters.
210	Initial 3008(a) Compliance Initial formal administrative enforcement action issued by the implementing agency asserting the agency's position that violations have occurred. The respondent/defendant is afforded the opportunity to appeal the agency's determination of violations to a trier of fact. These orders often impose penalties or proposed penalties.
220	Initial Imminent and Substantial Endangerment Order Initial formal administrative order issued by the implementing agency addressing conditions which may present an imminent and substantial endangerment to public health or the environment.
230	Initial Monitoring, Analysis, Test Order Initial formal administrative order issued by the implementing agency addressing situations that require monitoring, testing and/or analysis.
240	Initial 3008(h) I.S. CA Order Initial formal administrative order issued by the implementing agency addressing corrective action.
250	Field Citation An expedited initial formal administrative enforcement action addressing violations observed. These actions are often issued directly to a site in the field and assess penalties. In some instances, these actions may be considered "tickets."
310	Final 3008(a) Compliance Order Final formal administrative order issued by the implementing agency asserting the agency's position that remedial action is required. The respondent/defendant is afforded the opportunity to appeal the agency's determination to a trier of fact.
320	Final Imminent Hazard Order Final formal administrative order issued by the implementing agency addressing conditions which may present an imminent and substantial endangerment to public health or the environment.
330	Final Monitoring, Analysis, Test Order Final formal administrative order issued by the implementing agency addressing situations that require monitoring, testing and/or analysis.
340	Final 3008(h) I.S. CA Order Final formal administrative enforcement action issued by the implementing agency addressing corrective action remediation needs.
380	Multi Site Super CA/FO Final formal enforcement code to be used when a super CA/FO is issued pursuant to Part 22.13(b). These Super CA/FOs require a CA/FO sequence number which will be used to tie together all sites involved in this case. (Note: These actions MUST have a CA/FO sequence number, since it always has multiple sites.)
385	Single Site Super CA/FO Final formal enforcement code to be used when a super CA/FO is issues pursuant to Part 22.13(b). (Note: These actions CAN NOT have a CA/FO sequence number. The enforcement involves only one site.)
410	Referral to Attorney General A formal written request to Attorney General to proceed with judicial enforcement.
420	Referral to Department of Justice

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425	A formal written request to the Department of Justice to proceed with judicial enforcement. Referral to DOJ to Collect Penalties
430	A formal written request to the Department of Justice to collect penalties. Referral to District Attorney/City Attorney/County Attorney/State Attorney A formal written request to a District Attorney, City Attorney, County Attorney, or State Attorney to proceed with judicial enforcement (all judicial referrals levels lower than DOJ and AG levels).
510	Initial Civil/Judicial Action for Compliance and/or Monetary Penalty Initial formal legal actions taken (formally filed) for violation(s) that are not criminal actions which require compliance and/or assessment of monetary penalties.
520	Initial Civil Action for Imminent and Substantial Endangerment Initial formal legal action filed in court to address conditions which may present an imminent and substantial endangerment.
530	Initial Civil/Judicial Action for Corrective Action Initial formal legal action filed in court to address situations where violations require corrective action remediation response.
610	Final Civil/Judicial Action for Compliance and/or Monetary Penalty Final formal legal actions taken (formally filed) for violation(s) that are not criminal actions, which require compliance and/or assessment of monetary penalties.
620	Final Civil/Judicial Action for Imminent and Substantial Endangerment Final formal legal action filed in court to address conditions which may present an imminent and substantial endangerment to public health or the environment.
630	Final Civil/Judicial Action for Interim Corrective Action Final formal legal action filed in court to address situations where violations require corrective action remediation response.
710	Referral to Criminal A formal request to another agency or unit of government to proceed with criminal enforcement.
720	Criminal Indictment A written notification advising a hazardous waste site they have been charged with a criminal offense.
730	Criminal Conviction A court ruling which finds a hazardous waste site guilty of a criminal offense.
740	Criminal Acquittal A court ruling which finds a hazardous waste site not guilty and set free from the charge of an offense by verdict, sentence, or other legal process.
810	State to EPA Administrative Referral A formal written request to EPA from a State to proceed with enforcement.
820	EPA to State Administrative Referral A formal written request to a State from EPA to proceed with enforcement.
830	RCRA to CERCLA Administrative Referral A formal written request from a State or EPA RCRA program to a State or EPA CERCLA program.
840	EPA Regions to EPA HQ Administrative Referral A formal written request from an EPA Region(s) to EPA Headquarters (HQ) that includes Federal Facilities and/or other cases to be handled at the HQ level.
850	Administrative Referrals to Other RCRA Programs A formal written request from a RCRA regulatory program that is referred to another RCRA regulatory program, including

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860	UST, Corrective Action, and Municipal Solid Waste. Administrative Referrals to Other Programs A formal written request from a RCRA regulatory program that is referred to other regulatory programs such as Air, Water, OSHA, etc.
865	Referral to U.S. Treasury A formal written request to the U.S. Treasury Department to collect penalties.

Default Value

English Name	Enforcement Responsible Person Owner
Oracle Name	RESPONSIBLE_PERSON_OWNER
Foreign Key	Foreign key to OWNER in LU_STAFF.
Description	Indicates the agency that defined the Enforcement Responsible Person.
Data Type and Length	CHAR (2)
Allowed Values	01 - 10 Region State postal code blank Not provided
Default Value	blank
Notes	If Enforcement Responsible Person is provided then Enforcement Responsible Person Owner must be provided.

English Name	Enforcement Responsible Person
Oracle Name	RESPONSIBLE_PERSON
Foreign Key	Foreign key to PERSON_IDENTIFIER in LU_STAFF.
Description	Code indicating the person within the agency responsible for the enforcement action.
Data Type and Length	VARCHAR2 (5)
Allowed Values	Implementer-defined value in LU_STAFF blank Not provided
Default Value	blank
Notes	If Enforcement Responsible Person Owner is provided then Enforcement Responsible Person must be provided.

English Name	Enforcement Responsible Suborganization Owner
Oracle Name	SUBORGANIZATION_OWNER
Foreign Key	Foreign key to OWNER in LU_SUBORGANIZATION.
Description	Indicates the agency that defined the Enforcement Responsible Suborganization.
Data Type and Length	CHAR (2)
Allowed Values	01 - 10 Region State postal code blank Not provided
Default Value	blank
Notes	If Enforcement Responsible Suborganization is provided then Enforcement Responsible Suborganization Owner must be provided.

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English Name	Enforcement Responsible Suborganization
Oracle Name	SUBORGANIZATION
Foreign Key	Foreign key to SUBORGANIZATION in LU_SUBORGANIZATION.
Description	Code indicating the branch/district within the agency that is responsible for the enforcement action.
Data Type and Length	VARCHAR2 (10)
Allowed Values	Implementer-defined value in LU_SUBORGANIZATION blank Not provided
Default Value	blank
Notes	If Enforcement Responsible Suborganization Owner is provided then Enforcement Responsible Suborganization must be provided.

English Name	User ID of Last Change
Oracle Name	USERID
Description	Records the user ID of the last person to change the data.
Data Type and Length	VARCHAR2 (6)
Allowed Values	Valid user ID
Default Value	Current user ID

English Name	Date of Last Change
Oracle Name	LAST_CHANGE
Description	Records the date that the data was last changed.
Data Type and Length	DATE
Allowed Values	Valid date/time stamp
Default Value	Current date and time

English Name	Notes
Oracle Name	NOTES
Description	Additional description or information pertaining to the enforcement action.
Data Type and Length	VARCHAR2 (2000)
Allowed Values	Any value blank Not provided
Default Value	blank

*** End of Report ***