
LU_ENFORCEMENT_TYPE

English Name Enforcement Type Owner **(Primary Key)**
Oracle Name OWNER
Description Indicates the agency that defined the Enforcement Type.
Data Type and Length CHAR (2)
Allowed Values 01 - 10 Region
 HQ Nationally defined
 State postal code

Default Value

English Name Enforcement Type **(Primary Key)**
Oracle Name ENFORCEMENT_TYPE
Description A code that identifies the type of action being taken against a site.
Data Type and Length VARCHAR2 (3)
Allowed Values Any non-blank value not already defined. Note: Implementers should not re-use codes that have been nationally-defined (OWNER='HQ').
Defined Values Nationally- or implementer-defined value in LU_ENFORCEMENT_TYPE

Existing nationally-defined values:

110 Verbal Informal
 Oral notification by an agency representative informing a RCRA hazardous waste site that they violated applicable laws or requirements. No further action is taken if the site achieves compliance in a timely manner.

120 Written Informal
 A written notification by an agency representative informing and notifying a RCRA hazardous waste site that they violated applicable laws or requirements, advising the site of what to correct and by what date the correction should take place.

130 Notice of Determination
 A written notification by an agency representative to a RCRA site in response to the specific site's self-disclosure of specific RCRA violations or requirements.

140 Letter of Intent to Initiate Enforcement Action
 A written notification by an agency representative notifying a RCRA hazardous waste site of further follow-up enforcement action by the responsible agency. In some instances, these actions may be considered Notices of Intent or Show Cause letters.

210 Initial 3008(a) Compliance
 Initial formal administrative enforcement action issued by the implementing agency asserting the agency's position that violations have occurred. The respondent/defendant is afforded the opportunity to appeal the agency's determination of violations to a trier of fact. These orders often impose penalties or proposed penalties.

220 Initial Imminent and Substantial Endangerment Order
 Initial formal administrative order issued by the implementing agency addressing conditions which may present an imminent and substantial endangerment to public health or the environment.

230 Initial Monitoring, Analysis, Test Order

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	Initial formal administrative order issued by the implementing agency addressing situations that require monitoring, testing and/or analysis.
240	Initial 3008(h) I.S. CA Order
	Initial formal administrative order issued by the implementing agency addressing corrective action.
250	Field Citation
	An expedited initial formal administrative enforcement action addressing violations observed. These actions are often issued directly to a site in the field and assess penalties. In some instances, these actions may be considered "tickets."
310	Final 3008(a) Compliance Order
	Final formal administrative order issued by the implementing agency asserting the agency's position that remedial action is required. The respondent/defendant is afforded the opportunity to appeal the agency's determination to a trier of fact.
320	Final Imminent Hazard Order
	Final formal administrative order issued by the implementing agency addressing conditions which may present an imminent and substantial endangerment to public health or the environment.
330	Final Monitoring, Analysis, Test Order
	Final formal administrative order issued by the implementing agency addressing situations that require monitoring, testing and/or analysis.
340	Final 3008(h) I.S. CA Order
	Final formal administrative enforcement action issued by the implementing agency addressing corrective action remediation needs.
380	Multi Site Super CA/FO
	Final formal enforcement code to be used when a super CA/FO is issued pursuant to Part 22.13(b). These Super CA/FOs require a CA/FO sequence number which will be used to tie together all sites involved in this case. (Note: These actions MUST have a CA/FO sequence number, since it always has multiple sites.)
385	Single Site Super CA/FO
	Final formal enforcement code to be used when a super CA/FO is issues pursuant to Part 22.13(b). (Note: These actions CAN NOT have a CA/FO sequence number. The enforcement involves only one site.)
410	Referral to Attorney General
	A formal written request to Attorney General to proceed with judicial enforcement.
420	Referral to Department of Justice
	A formal written request to the Department of Justice to proceed with judicial enforcement.
425	Referral to DOJ to Collect Penalties
	A formal written request to the Department of Justice to collect penalties.
430	Referral to District Attorney/City Attorney/County Attorney/State Attorney
	A formal written request to a District Attorney, City Attorney, County Attorney, or State Attorney to proceed with judicial enforcement (all judicial referrals levels lower than DOJ and AG levels).
510	Initial Civil/Judicial Action for Compliance and/or Monetary Penalty
	Initial formal legal actions taken (formally filed) for violation(s) that are not criminal actions which require compliance and/or assessment of monetary penalties.
520	Initial Civil Action for Imminent and Substantial Endangerment

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530	Initial formal legal action filed in court to address conditions which may present an imminent and substantial endangerment. Initial Civil/Judicial Action for Corrective Action
610	Initial formal legal action filed in court to address situations where violations require corrective action remediation response. Final Civil/Judicial Action for Compliance and/or Monetary Penalty
620	Final formal legal actions taken (formally filed) for violation(s) that are not criminal actions, which require compliance and/or assessment of monetary penalties. Final Civil/Judicial Action for Imminent and Substantial Endangerment
630	Final formal legal action filed in court to address conditions which may present an imminent and substantial endangerment to public health or the environment. Final Civil/Judicial Action for Interim Corrective Action
710	Final formal legal action filed in court to address situations where violations require corrective action remediation response. Referral to Criminal
720	A formal request to another agency or unit of government to proceed with criminal enforcement. Criminal Indictment
730	A written notification advising a hazardous waste site they have been charged with a criminal offense. Criminal Conviction
740	A court ruling which finds a hazardous waste site guilty of a criminal offense. Criminal Acquittal
810	A court ruling which finds a hazardous waste site not guilty and set free from the charge of an offense by verdict, sentence, or other legal process. State to EPA Administrative Referral
820	A formal written request to EPA from a State to proceed with enforcement. EPA to State Administrative Referral
830	A formal written request to a State from EPA to proceed with enforcement. RCRA to CERCLA Administrative Referral
840	A formal written request from a State or EPA RCRA program to a State or EPA CERCLA program. EPA Regions to EPA HQ Administrative Referral
850	A formal written request from an EPA Region(s) to EPA Headquarters (HQ) that includes Federal Facilities and/or other cases to be handled at the HQ level. Administrative Referrals to Other RCRA Programs
860	A formal written request from a RCRA regulatory program that is referred to another RCRA regulatory program, including UST, Corrective Action, and Municipal Solid Waste. Administrative Referrals to Other Programs
865	A formal written request from a RCRA regulatory program that is referred to other regulatory programs such as Air, Water, OSHA, etc. Referral to U.S. Treasury
	A formal written request to the U.S. Treasury Department to collect penalties.

Default Value

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English Name	Enforcement Type Usage	
Oracle Name	USAGE	
Description	Indicates who defined the data, if the data is required, and if the data may be released to the public.	
Data Type and Length	CHAR (1)	
Allowed Values	1	Nationally-defined Nationally-required Routinely-released
	2	Nationally-defined Nationally-required Not routinely-released
	3	Nationally-defined Not nationally-required Routinely-released
	4	Nationally-defined Not nationally-required Not routinely-released
	5	Implementer-defined Nationally-required Routinely-released
	6	Implementer-defined Nationally-required Routinely-released
	7	Implementer-defined Not nationally-required Routinely-released
	8	Implementer-defined Not nationally-required Not routinely-released
Default Value		
Notes	Usage types 1 through 4 apply only to HQ-owned codes. Usage types 5 through 8 apply only to implementer-owned codes.	

English Name	Enforcement Type Active Status	
Oracle Name	ACTIVE_STATUS	
Description	Indicates whether the Enforcement Type is currently applicable.	
Data Type and Length	CHAR (1)	
Allowed Values	N	No
	Y	Yes
Default Value	Y	

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Notes Inactive codes (ACTIVE_STATUS = "N") are retained in the lookup table for historical purposes.

English Name Enforcement Type Description
Oracle Name ENFORCEMENT_DESC
Description English description of the Enforcement Type.
Data Type and Length VARCHAR2 (80)
Allowed Values Any non-blank value
Default Value

English Name User ID of Last Change
Oracle Name USERID
Description Records the user ID of the last person to change the data.
Data Type and Length VARCHAR2 (6)
Allowed Values Valid user ID
Default Value Current user ID

English Name Date of Last Change
Oracle Name LAST_CHANGE
Description Records the date that the data was last changed.
Data Type and Length DATE
Allowed Values Valid date/time stamp
Default Value Current date and time

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English Name	Help Notes
Oracle Name	HELP_NOTES
Description	Additional information regarding the Enforcement Type.
Data Type and Length	VARCHAR2 (240)
Allowed Values	Any value
	blank Not provided
Default Value	blank

*** End of Report ***